08/27/01

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tsuyoshi YOKOTA, et al.

Serial No: 09/821,730

Filed: March 28, 2001

INVENTORY ALLOCATION MANAGEMENT For:

METHOD AND INVENTORY ALLOCATION

MANAGEMENT SYSTEM

RESPONSE TO NOTICE TO FILE MISSING PARTS **OF APPLICATION**

Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated May 31, 2001, response to which was due July 31, 2001, enclosed are the following:

- A copy of the "Notice to File Missing Parts of Application Filing Date Granted." \boxtimes
- A "Declaration and Power of Attorney" executed by the inventor(s). \boxtimes
- English language specification and Formal Drawings \boxtimes
- A check in the amount of \$130 to cover the surcharge for late filing of English language \boxtimes specification
- A "Petition for Extension of Time" for one month(s) and a check for the required fee in X the amount of \$110.
- A check in the amount of \$1366.00 in payment of the filing fee. \boxtimes
- A check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration. M

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted, Date: August 27, 2001

Capenhoff Michael Crapenhoft Registration No. 37,115 Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Phone: 213-337-6700 Fax: 213-337-6701

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed Commissioner for Patents Washington D.C. 20231, on August 24, 2001 Date of Deposit Michael Crapenhoft

Not Assigned

Not Assigned

Art Unit:

Examiner:

Wheth

Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

#37

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/821,730

03/28/2001

Tsuyoshi Yokota

26021 HOGAN & HARTSON L.L.P. 500 S. GRAND AVENUE SUITE 1900 LOS ANGELES, CA 90071-2611



CONFIRMATION NO. 5468
FORMALITIES LETTER
OC0000000006131789

Date Mailed: 05/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/04/2001 BABRAHA: 09000046 09821730

130.00 GP 710.00 DP 80.00 GP 306.00 GP 270.00 GP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filling date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 970.
- Because your specification was filed in a language other than English, the Office was unable to
 determine the number of claims submitted. Additional claim fees may be due once the number of claims
 can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow

chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE